IPE	Please type a plus sigi	, n (+) inside this box	→ +			HDP/SB/21 based on PTO/SB/21 (08-00)	
70 48 1		Application Number		ation Number	10/601,364		
DEC 7 6 20003	6 2003 E TRANSMITTAL			Filing Date		June 23, 2003	
· ,	IRAN	ORM		First N	amed Inventor	Mark Barrow et al	
TO TRADEMA	(to be used for all com	espondence after ir	nitial filing)	itial filing) Group Art Unit		1762	
				Examiner Name		Unknown	
(	Total Number of Pages	in This Submission		Attorney Docket Number		8521-000014	
			ENCLO	SURES	(check all that apply)		
-	Fee Transmittal Fo	orm		ment Pap Application		After Allowance Communication to Group	
	Fee Attached		☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences	
	Amendment / Resp	ponse	Licensi	ng-related	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
	After Final		Petition			Proprietary Information	
	Affidavits/decla	aration(s)		to Conve onal Appl		Status Letter	
	Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):	
	Express Abandonment Request		Terminal Disclaimer		mer	return post card	
		nent Nequest	Request for Refund		ınd		
<u> </u>	☐ Information Disclos	CD, Nu	CD, Number of CD(s)				
	Certified Copy of Priority Document(s)		Rema	additional fees that may be		s hereby authorized to charge any nay be required under 37 CFR 1.16 or 1.17 No. 08-0750. A duplicate copy of this	
	Response to Missi						
	Response to M Parts under 37 1.52 or 1.53						
i		SIGNA	TURE OF	APPLICA	ANT, ATTORNEY, O	R AGENT	
1	Firm or Individual name  Harness, Dickey & Pierce, P.L.C. Gregory Schivley  27,382						
	Signature 1. huern Mharles					len	
1	Date December 1, 2003						
, 	CERTIFICATE OF MAILING/TRANSMISSION						
	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.						
	Typed or printed name	G. Gregory 8	chivley		1,0		
ļ	Signature	].	This	an /	Ulanda	Date December, 2003	

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/601,364

r翻ing Date:

June 23, 2003

Applicant:

Mark Barrow et al

**Group Art Unit:** 

1762

Examiner:

Unknown

Title:

COLLOIDAL COMPOSITE SOL GEL FORMULATION

WITH AN EXPANDED GEL NETWORK FOR MAKING

THICK INORGANIC COATINGS

Attorney Docket:

8521-000014

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

#### I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. <u>COPIES</u>

A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

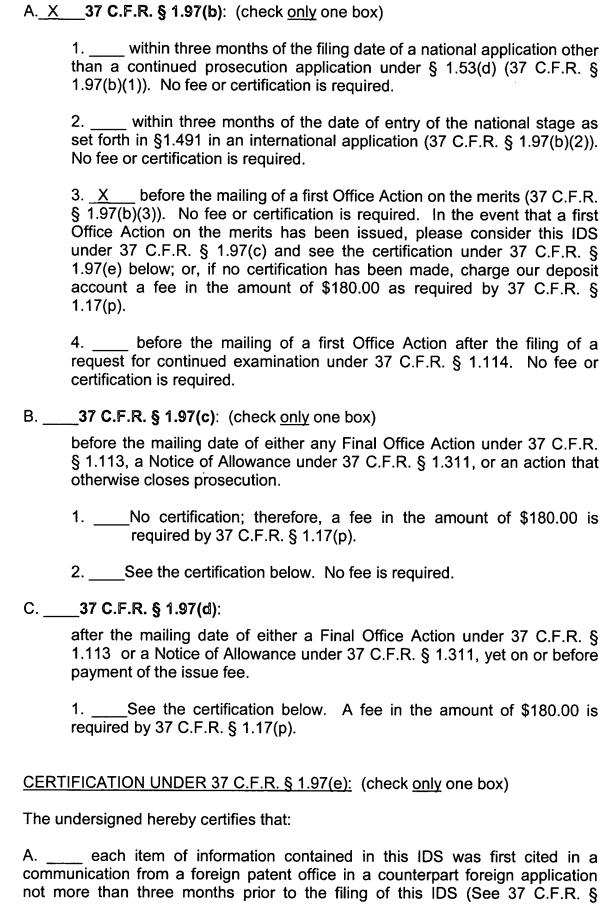
B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

### U.S. Serial Number

U.S. Filing Date

	C Because the present ap no copies of the U.S. patents of listed on the attached Form 14 C.F.R. § 1.98(a)(2)(i). Any force listed on the attached Form 1449	r U.S. patent application p 49 are enclosed pursuan eign patent documents or	publications which are to the waiver of 37
	D This is a PCT application States. A copy of the Internation information. The documents list on the attached Form-1449 for any patent resulting from this apwas from the US, EPO, or JPC should have been supplied to the believed to be in the file of the attached.	nal Search Report is attach ed on the International Sec consideration by the Exam plication. Since the Internal o search authorities, copies e USPTO under the trilater	ed for the Examiner's arch Report are listed iner and for listing on ational Search Reports of these references al agreement and are
П.	CONCISE EXPLANATION OF T	HE RELEVANCE (check at	least one box)
	A Except as may be indicor other information are in the required).		
	B. A concise explanation other information listed that is n C.F.R. § 1.98(a)(3)):		
	1See the attached counterpart foreign a 2English translations 3Other:		mmunication from a
	CThe following additionation.	al information is provided	for the Examiner's
V.	CROSS REFERENCE TO RELA	TED APPLICATION(S)	
	A. X The Examiner is advis contain(s) subject matter that r bringing this(these) application does(do) not waive the confident	nay be related to the pre (s) to the Examiner's a	sent application. By ttention, Applicant(s)
	<u>Serial No.</u> 10/093,942	Filing Date March 8, 2002	<u>Art Unit</u> 1751

## V. THIS IDS IS BEING FILED UNDER



Serial No. 10/601,364 Page 3

VI.

	1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or
	B no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	CSome of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
VII.	STATEMENT UNDER 37 CFR 1.704(d)
	The undersigned hereby states that:
	each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.
VIII.	PAYMENT OF FEES (check only one box)
	A A check in the amount of \$180.00 is enclosed for the above-identified fee.
	BPlease charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.
the into	The above references are being cited only in the interest of candor and without dmission that they constitute statutory prior art, contain matter which anticipates vention, or which would render the same obvious, either singly or in combination, person of ordinary skill in the art. Furthermore, this Information Disclosure nent shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: Dec 11,2003

By:

Reg. No. 2

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

GGS/slm



FORM HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET No.	SERIAL NO.
8521-000014	10/601,364
APPLICANT	
Mark Barrow et al	
FILING DATE	GROUP
June 23, 2003	1762

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		Re. 36,573	2/15/2000	Barrow et al		
2.		4,999,049	3/12/1991	Balderson et al		
3.		5,585,136	12/17/1996	Barrow et al		
4.		5,693,259	12/2/1997	Otaki		
5.		5,856,379	1/5/1999	Shiratsuchi et al		
6.		5,973,298	10/26/1999	Kallgren		
7.		5,998,525	12/7/1999	Wang et al		
8.		6,043,467	3/28/2000	Little		
9.		6,045,856	4/4/2000	Torikoshi et al		
10.		6,144,106	11/7/2000	Bearinger et al		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	n No
1.		196 20 645	12/5/1996	Germany		х	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)					
Ref. Desig.	Examiner's Initials				
1.		Office Action from related USSN 10/093,942 with cited references shown above.			
2.		NERAC.COM; Listing re: Thick and Thin Film: Resistive Heating; 45 pages			

Examiner:	Date Considered: